



Imaging & Spectroscopy with the JWST

Alain Abergel, IAS

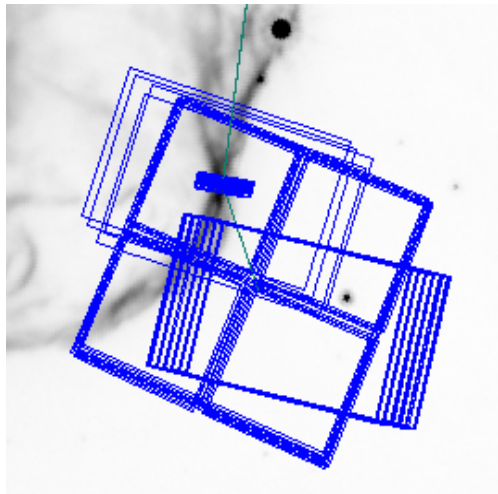
François Orieux, Aurelia Fraysse, Amine Hadj-Youcef, Ralph Abi Rizk
Laboratoire des Signaux et Systèmes (L2S)

Imaging & Spectroscopy with the JWST

- JWST : unique combination of imaging and spectroscopic facilities
- Very different fields of view

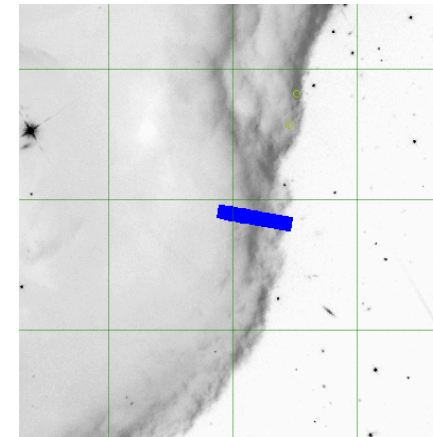
MIRI imager : 75'' X 113'', 9 filters

NIRCAM 2.2' X 2.2', 29 filters



MIRI MRS : 3.9'' to 7.7'

NIRSPEC IFU : 3'' X 3'

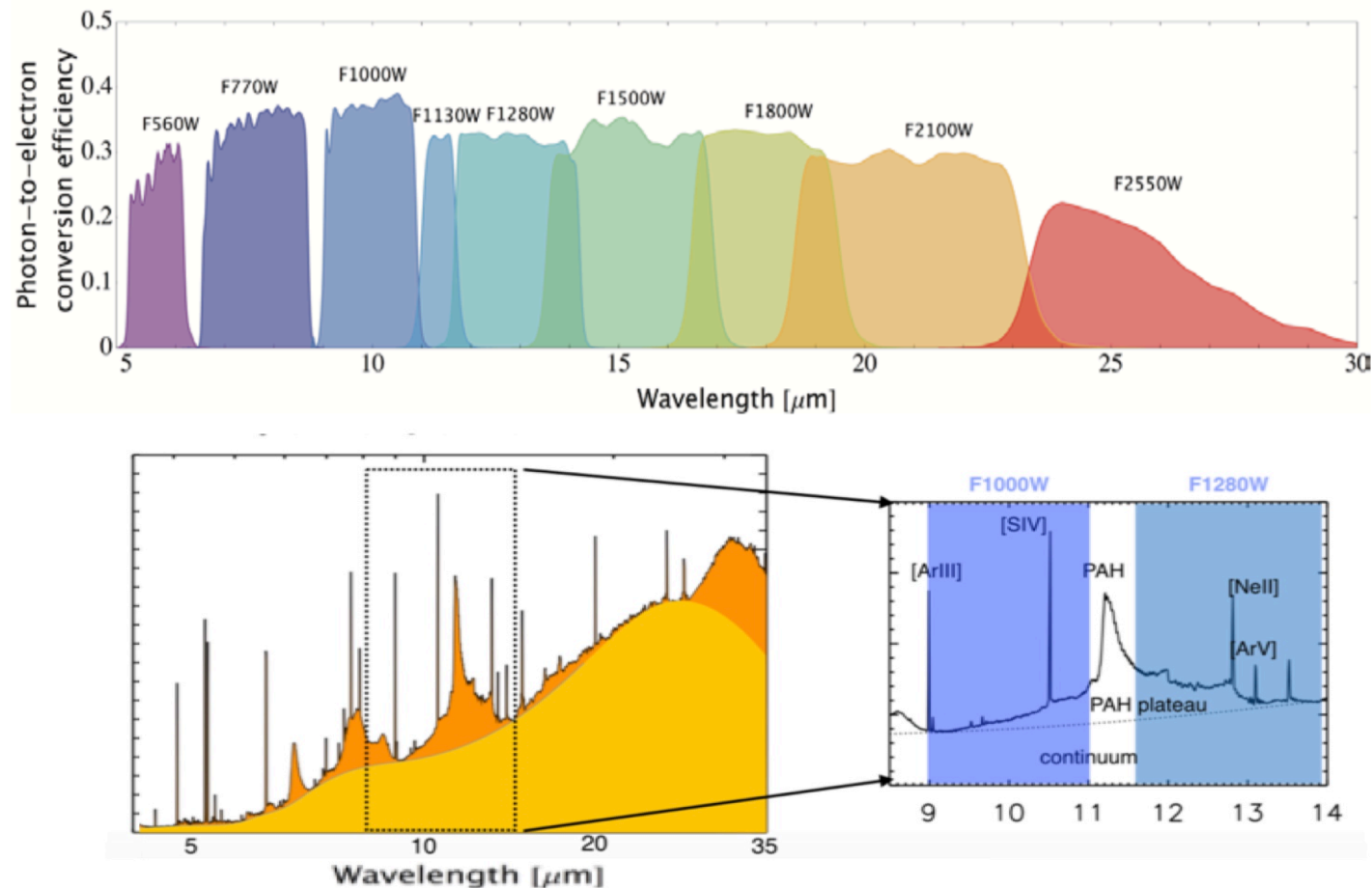


- The spectroscopy needs long observing times
 - to reach high sensitivity
 - to cover extended fields

Spectroscopy with the JWST

Instrument	Type	Wavelength (microns)	Spectral resolution	Field of view
NIRISS	slitless	1.0-2.5	~150	2.2' x 2.2'
NIRCam	slitless	2.4-5.0	~2000	2.2' x 2.2' (TBC)
NIRSpec	MOS	0.6-5.0	100/1000/2700	9 square arcmin.
NIRSpec	IFU	0.6-5.0	100/1000/2700	3" x 3"
MIRI	IFU	5.0-28.8	2000-3500	>3" x >3.9"
NIRSpec	SLIT	0.6-5.0	100/1000/2700	Single object
MIRI	SLIT	5.0-10.0	60-140	Single object
NIRISS	Aperture	0.6-5.0	100/1000/2700	Single object
NIRSpec	Aperture	0.6-2.5	700	Single object

MIRI imaging , 9 filters



- The spectroscopy is mandatory, but very expensive
 - How to recover spectroscopic information from broad-band images ?
 - How to combine spectroscopic and imaging data ?
 - How to optimize the observing strategy and the data analysis ?

Soumis à l'ANR: LabCom IAS – ACRI-ST Innovative Laboratory for Space Spectroscopy

- 2019-2022 (période financée par l'ANR) et au-delà
- Adossé au Centre d'expertise MIRI → Apport de la spectroscopie (MIRI MRS)

Expertise ACRI-ST: Spectroscopie infrarouge (Jeronimo Bernard-Salas)

- Actuellement 3 WPs:
 1. Développements méthodologiques et algorithmiques pour tirer la meilleure information spectroscopique des images et des spectres de MIRI

Theses: Amine Hadj-Youcef (2015-2018), Ralph Abi Rizk (2018-2021)
 2. Simulations des observations MIRI MRS, et pipelines
 3. Développements logiciels pour la corrélation croisée imagerie-spectroscopie

PhD Defense :
**Reconstruction spatio-spectrale à partir de données
multispectrales basse résolution. Application à
l'instrument infrarouge moyen du Télescope spatial James
Webb (JWST)**

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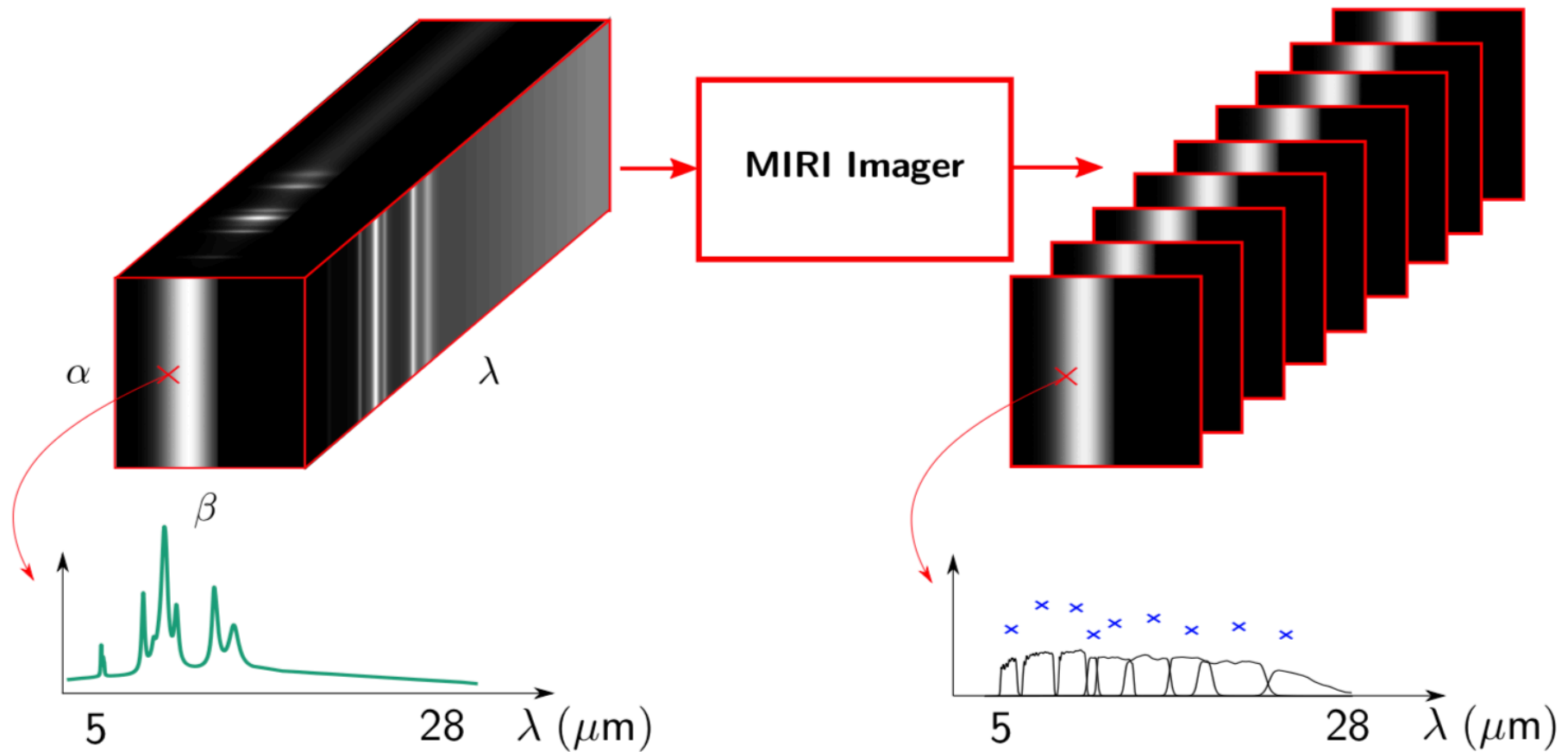
September 27, 2018



Data Acquisition

2D+ λ continuous input

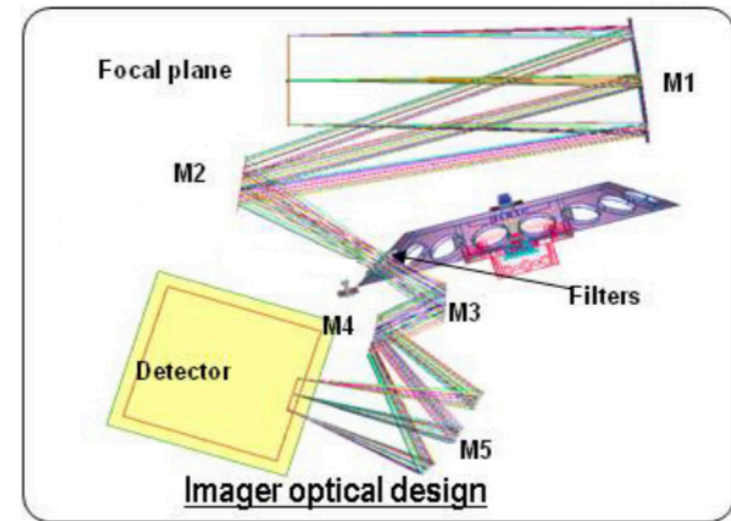
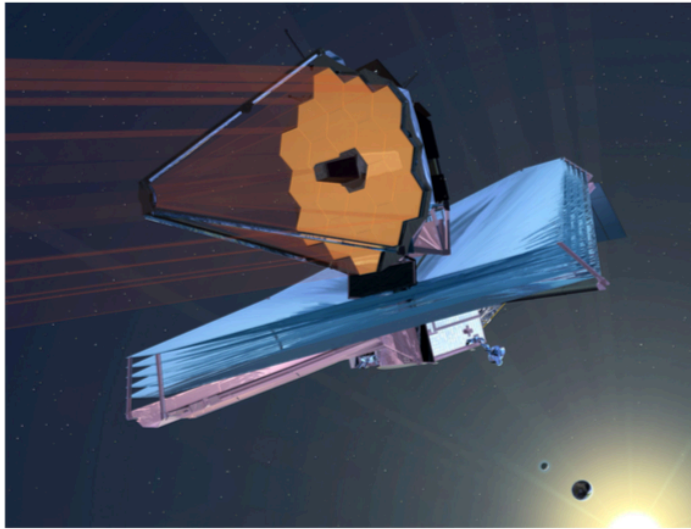
9 $\times$ 2D discrete output



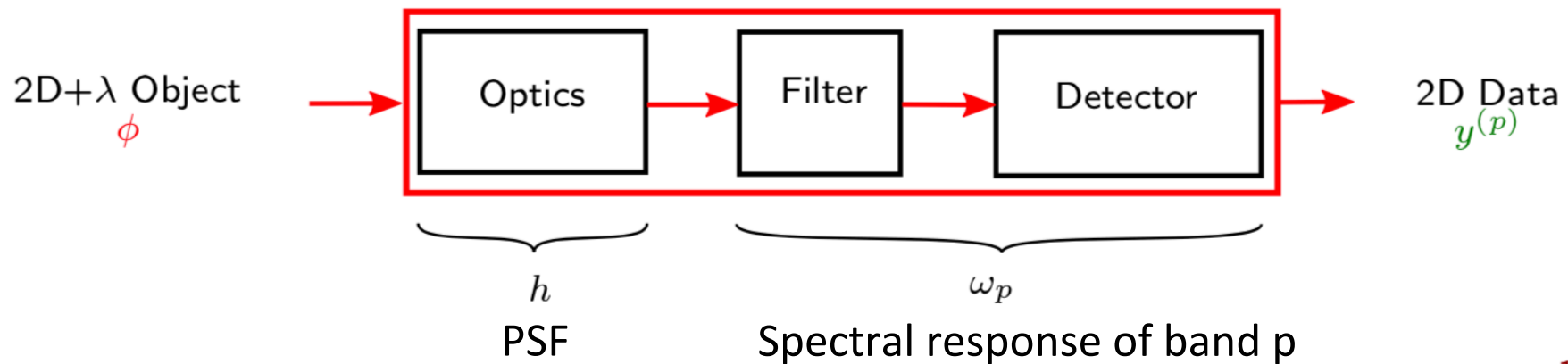
. Courtesy to Nathalie Ysard

Modeling of the Instrument Response

MIRI optical design [Bouchet *et al.* 2015]

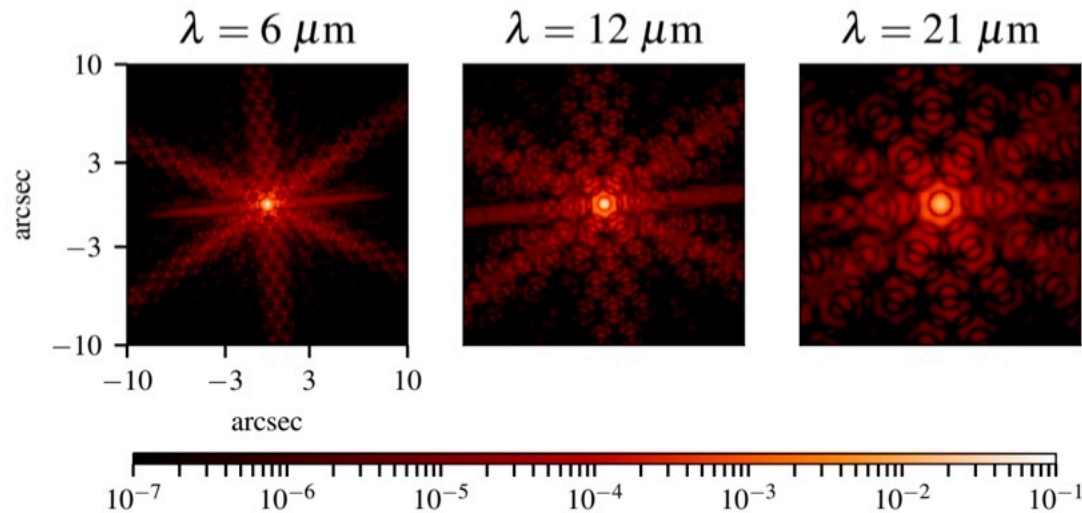


Proposed Instrument Model



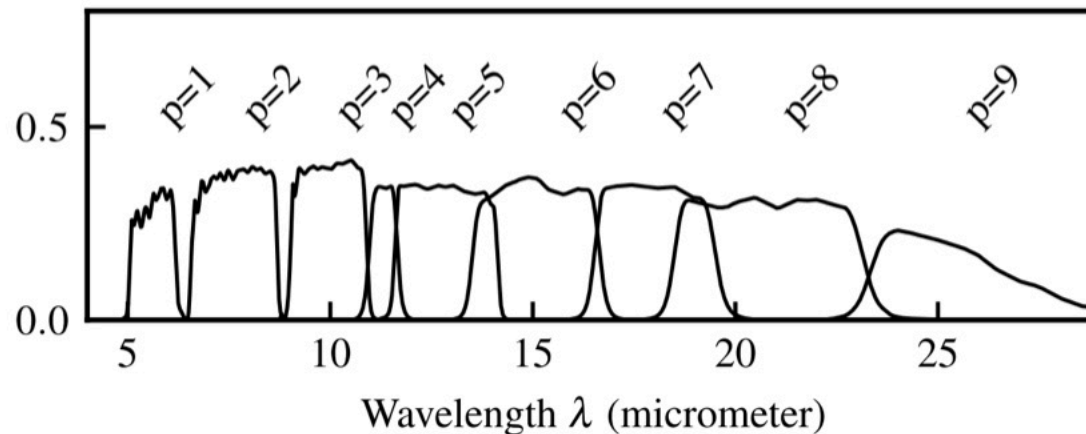
Instrument Parameters

Spectral Variations of the PSF : h



- *WebbPSF* (STScI)
[Perrin *et al.* 2014].
- ⇒ Spatial resolution of the data change according to λ

Nine Spectral Responses of the MIRI Imager : ω_p



- ω_p : Filter transmission $\times$ Quantum efficiency
- ⇒ Broad responses + Correlation between the data

Complete Equation of the Model

For the band p and the pixel (i, j)

$$y_{i,j}^{(p)} = \int_{\mathbb{R}_+} \omega_p(\lambda) \times \left(\iint_{\Omega_{\text{pix}}} \left(\iint_{\mathbb{R}^2} \phi(\alpha', \beta', \lambda) h(\alpha - \alpha', \beta - \beta', \lambda) d\alpha' d\beta' \right) b_{\text{samp}}(\alpha - \alpha_i, \beta - \beta_j) d\alpha d\beta \right) d\lambda + n_{i,j}^{(p)}$$

where $p = 1, \dots, P, i = 1, \dots, N_i, j = 1, \dots, N_j$

N_i, N_j	Numbers of rows and columns	ω_p	Spectral response of the band p
P	Number of spectral bands	b_{samp}	Spatial integration function over Ω_{pix}
h	Spatial response PSF	$n^{(p)}$	Additive noise

Hypothesis

- Photon noise neglected
- All issues related to the detector are corrected

Forward Model

Instrument model + object model

$$\mathbf{y}^{(p)} = \sum_{m=1}^{N_\lambda} \mathbf{H}^{p,m} \mathbf{x}^{(m)} + \mathbf{n}^{(p)}, \quad \text{for the band } p$$

with

$$H_{i,j;k,l}^{p,m} = \iint_{\Omega_{\text{pix}}} \left(\left(\int_{\mathbb{R}_+} \omega_p(\lambda) h(\alpha, \beta, \lambda) b_{\text{spec}}^m(\lambda) d\lambda \right)_{\alpha, \beta}^* b_{\text{spat}}(\alpha - \alpha_k, \beta - \beta_l) \right) b_{\text{samp}}(\alpha - \alpha_i, \beta - \beta_j) d\alpha d\beta$$

Joint processing of all multispectral data $\Rightarrow$ Under-determined problem ($N_\lambda > P$)

$$\underbrace{\begin{pmatrix} \mathbf{y}^{(1)} \\ \mathbf{y}^{(2)} \\ \vdots \\ \mathbf{y}^{(P)} \end{pmatrix}}_{\mathbf{y}} = \underbrace{\begin{pmatrix} \mathbf{H}^{1,1} & \mathbf{H}^{1,2} & \dots & \mathbf{H}^{1,N_\lambda} \\ \mathbf{H}^{2,1} & \mathbf{H}^{2,2} & \dots & \mathbf{H}^{2,N_\lambda} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{H}^{P,1} & \mathbf{H}^{P,2} & \dots & \mathbf{H}^{P,N_\lambda} \end{pmatrix}}_{\mathbf{H}} \underbrace{\begin{pmatrix} \mathbf{x}^{(1)} \\ \mathbf{x}^{(2)} \\ \vdots \\ \mathbf{x}^{(N_\lambda)} \end{pmatrix}}_{\mathbf{x}} + \underbrace{\begin{pmatrix} \mathbf{n}^{(1)} \\ \mathbf{n}^{(2)} \\ \vdots \\ \mathbf{n}^{(P)} \end{pmatrix}}_{\mathbf{n}}$$

$$\mathbf{y} = \mathbf{H} \mathbf{x} + \mathbf{n}$$

Reconstruction : Regularized Least-Squares Method

Convex Minimization

$$\hat{\mathbf{x}} = \underset{\mathbf{x}}{\operatorname{argmin}} \mathcal{J}(\mathbf{x}) \quad \text{Need to add regularization terms in order to stabilize the solution}$$

with

$$\mathcal{J}(\mathbf{x}) = \|\mathbf{y} - \mathbf{H}\mathbf{x}\|_2^2 + \{\mu_{\text{spat}} \mathcal{R}_{\text{spat}}(\mathbf{x}) + \mu_{\text{spec}} \mathcal{R}_{\text{spec}}(\mathbf{x})\}$$

$$\mathcal{R}_{\text{spat}}(\mathbf{x}) = \sum_{m=1}^{N_\lambda} \left(\sum_{k=1}^{N_k} \sum_{l=1}^{N_l} \left((x_{k+1,l}^{(m)} - x_{k,l}^{(m)})^2 + (x_{k,l+1}^{(m)} - x_{k,l}^{(m)})^2 \right) \right) = \|\mathbf{D}_{\text{spat}} \mathbf{x}\|_2^2$$

$$\mathcal{R}_{\text{spec}}(\mathbf{x}) = \sum_{k=1}^{N_k} \sum_{l=1}^{N_l} \left(\sum_{m=1}^{N_\lambda} (x_{k,l}^{(m+1)} - x_{k,l}^{(m)})^2 \right) = \|\mathbf{D}_{\text{spec}} \mathbf{x}\|_2^2$$

$\mathbf{D}_{\text{spat}}$ and $\mathbf{D}_{\text{spec}}$ are 2D and 1D finite difference operator.

Solution of the Problem : $\mathcal{J}$ is differentiable and strictly convex

$$\hat{\mathbf{x}} = \underbrace{\left(\mathbf{H}^T \mathbf{H} + \mu_{\text{spat}} \mathbf{D}_{\text{spat}}^T \mathbf{D}_{\text{spat}} + \mu_{\text{spec}} \mathbf{D}_{\text{spec}}^T \mathbf{D}_{\text{spec}} \right)^{-1}}_{\mathbf{Q}} \mathbf{H}^T \mathbf{y}$$

- $\mathbf{Q} \in \mathbb{R}^{N_\lambda N_k N_l \times N_\lambda N_k N_l}$ is a high-dimensional block-matrix.
- $\text{Size}(\mathbf{Q}) = 3932160 \times 3932160$ (~ 123 Terabit!) : ($N_k = N_l = 256, N_\lambda = 60$)

Proposed Algorithms

Algorithm 1 : Conjugated Gradient

Input : $H, y, Q,$

$$\hat{x}_0 = 0, r_0 = d_0 = H^T y - Q \hat{x}_0$$

for $n = 0 : N_{iter}$ **do**

Optimal step parameter

$$a_n \leftarrow \frac{r_n^T r_n}{d_n^T Q d_n}$$

Computation of the next iteration

$$\hat{x}_{n+1} \leftarrow \hat{x}_n - a_n d_n$$

Conjugate directions

$$r_{n+1} \leftarrow r_n + a_n Q d_n$$

$$b_n \leftarrow \frac{r_{n+1}^T r_{n+1}}{r_n^T r_n}$$

$$d_{n+1} \leftarrow r_{n+1} + b_{n+1} d_n$$

return $\hat{x}$

$\Rightarrow$ An approximate solution

$\Rightarrow$ Time consuming

Algorithm 2 : Multichannel Discrete Fourier Transform

Input : $H, D, C, y, \mu_{\text{spat}}, \mu_{\text{spec}}$

Compute the Hessian matrix

$$Q = H^T H + \mu_{\text{spat}} D_{\text{spat}}^T D_{\text{spat}} + \mu_{\text{spec}} D_{\text{spec}}^T D_{\text{spec}}$$

Block diagonalization

$$\Lambda_Q \leftarrow \{F Q^{i,j} F^\dagger\}_{i,j=1}^{N_\lambda}$$

$$\Lambda_H \leftarrow \{F H^{i,j} F^\dagger\}_{i,j=1}^{P, N_\lambda}$$

Inversion of Λ_Q

$$N_\lambda, N_\lambda, N_k, N_l = \text{size}(\Lambda_Q)$$

for $k = 0 : N_k$ **do**

for $l = 0 : N_l$ **do**

$$R = \Lambda_Q[:, :, k, l]$$

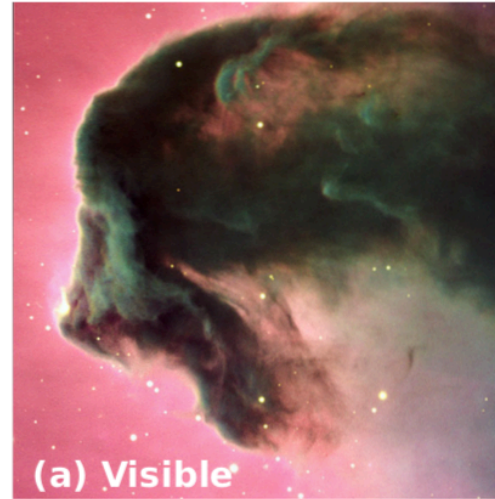
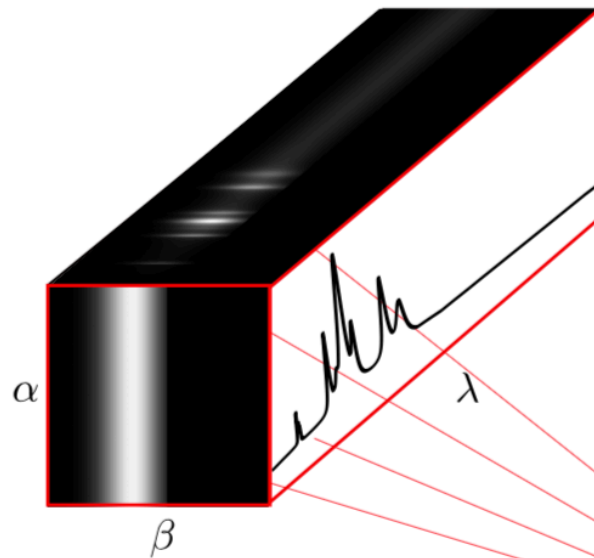
$$\Lambda_Q^{inv}[:, :, k, l] = R^{-1}$$

Compute the solution

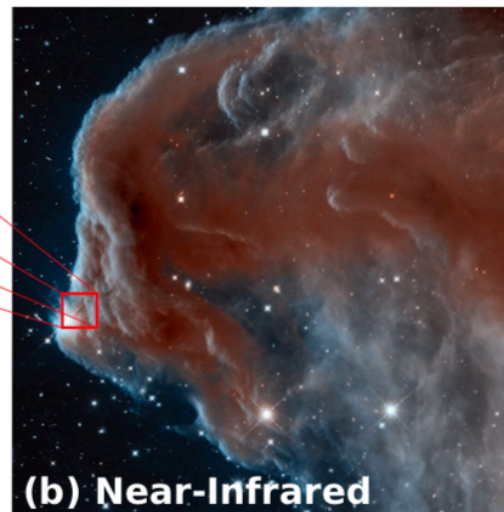
$$\hat{x} \leftarrow \bar{F}^\dagger \Lambda_Q^{inv} \Lambda_H^\dagger \bar{F} y$$

return $\hat{x}$

Original spatio-spectral object

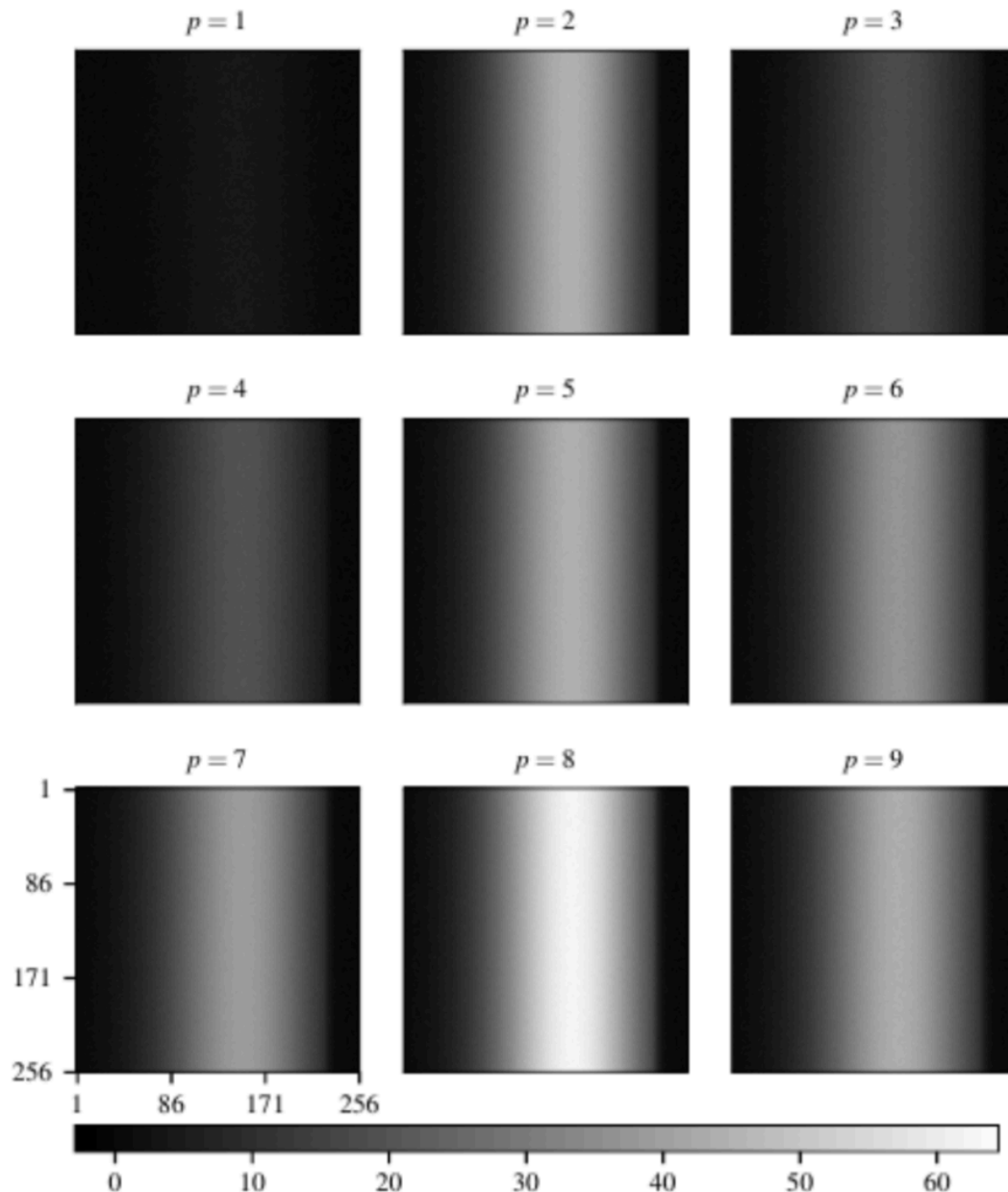


HorseHead nebula



● Size : $1000 \times 256 \times 256$

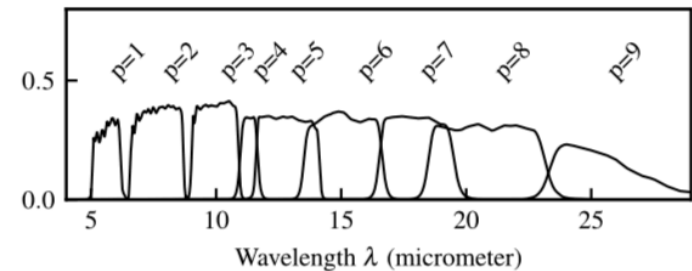
Simulation Results of the Multispectral Data



HorseHead nebula

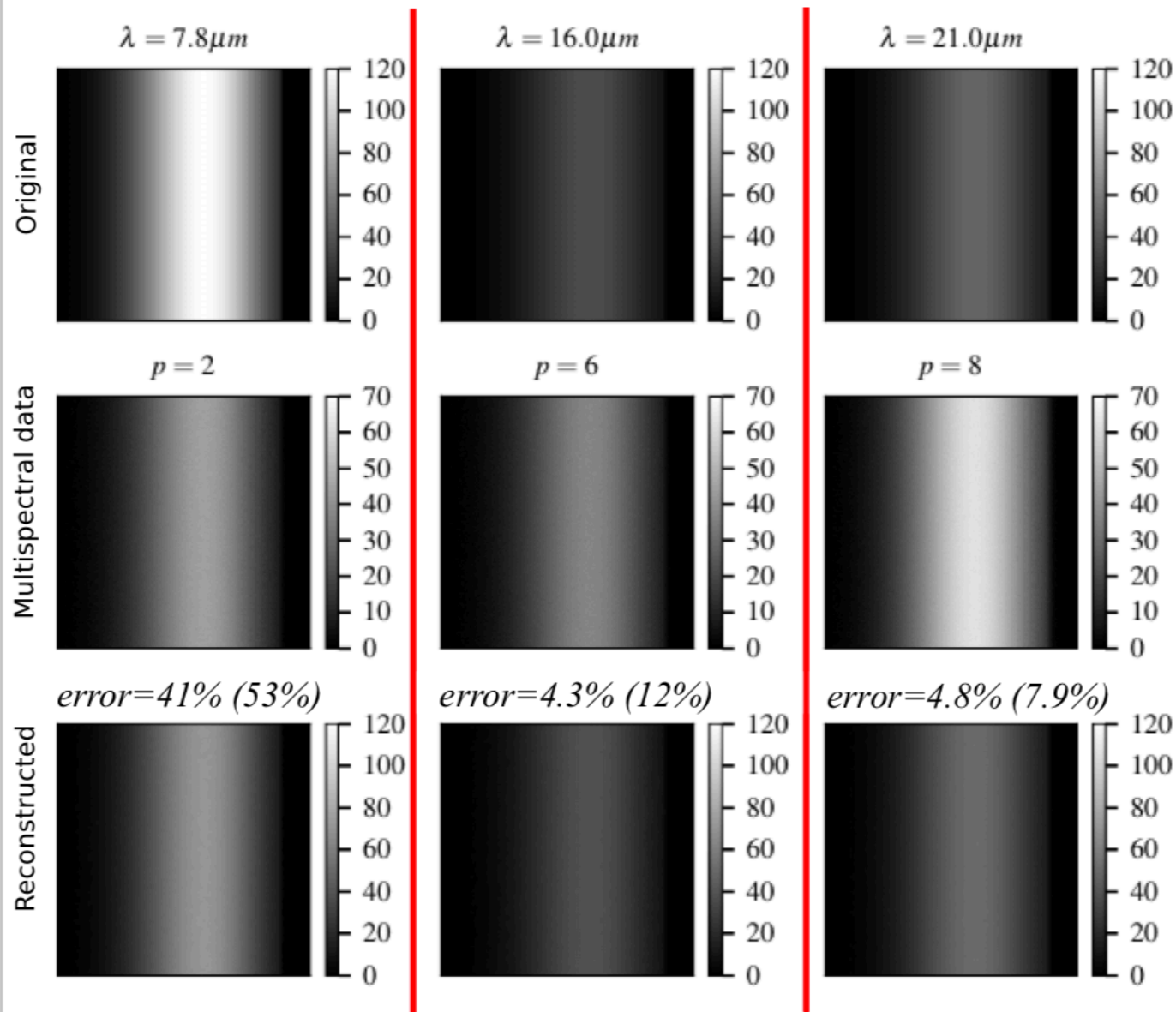
- JWST/MIRI Imager
- $9 \times 256 \times 256$ pixels
- SNR = **30** dB white Gaussian noise

$$\text{SNR} = 10 \log_{10} \left(\frac{\frac{1}{N} \|\mathbf{y}\|_2^2}{\sigma_n^2} \right)$$



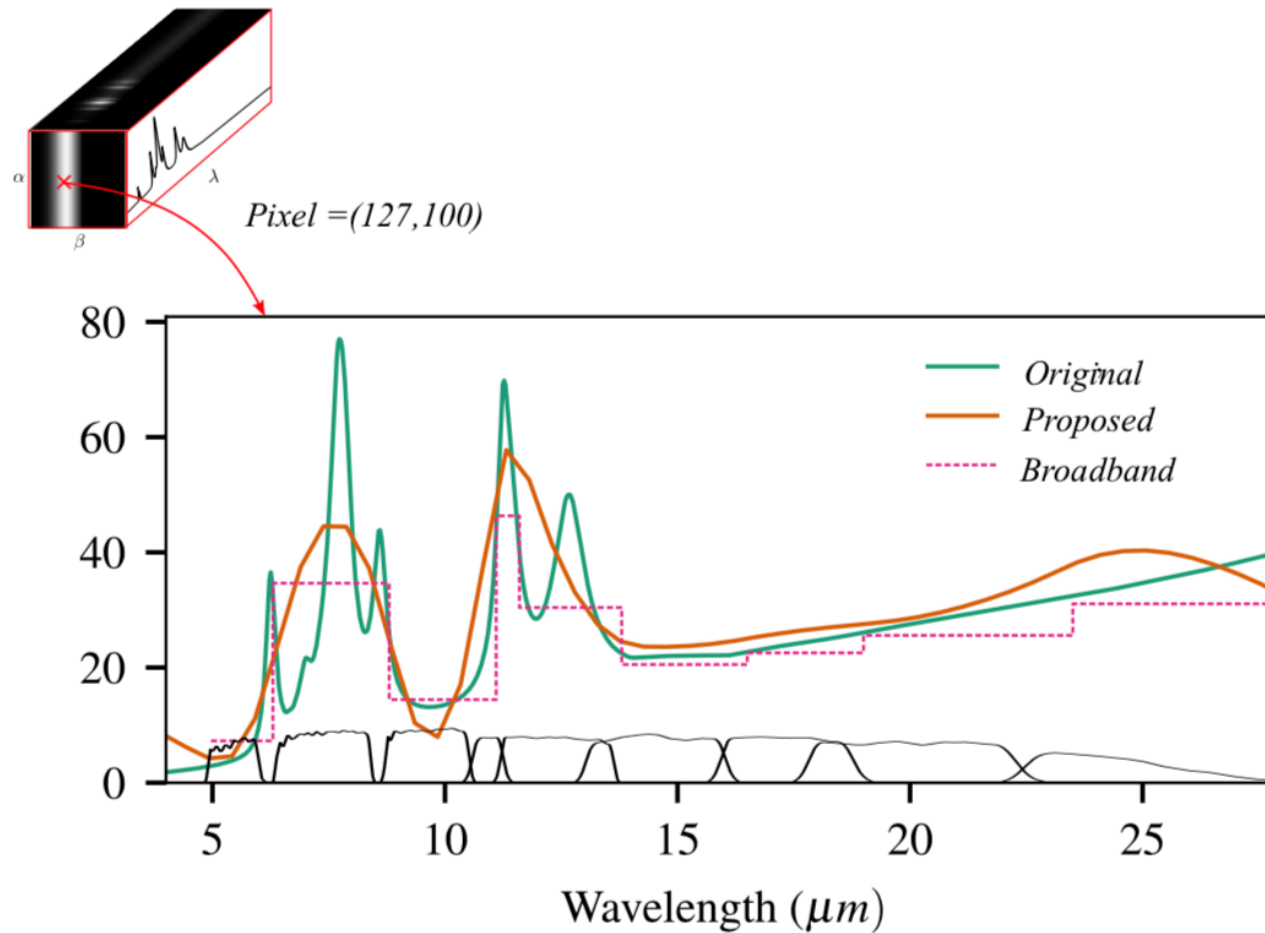
Reconstruction Results

Spatial distribution : [Hadj-Youcef *et al.* 2018]



Reconstruction Results

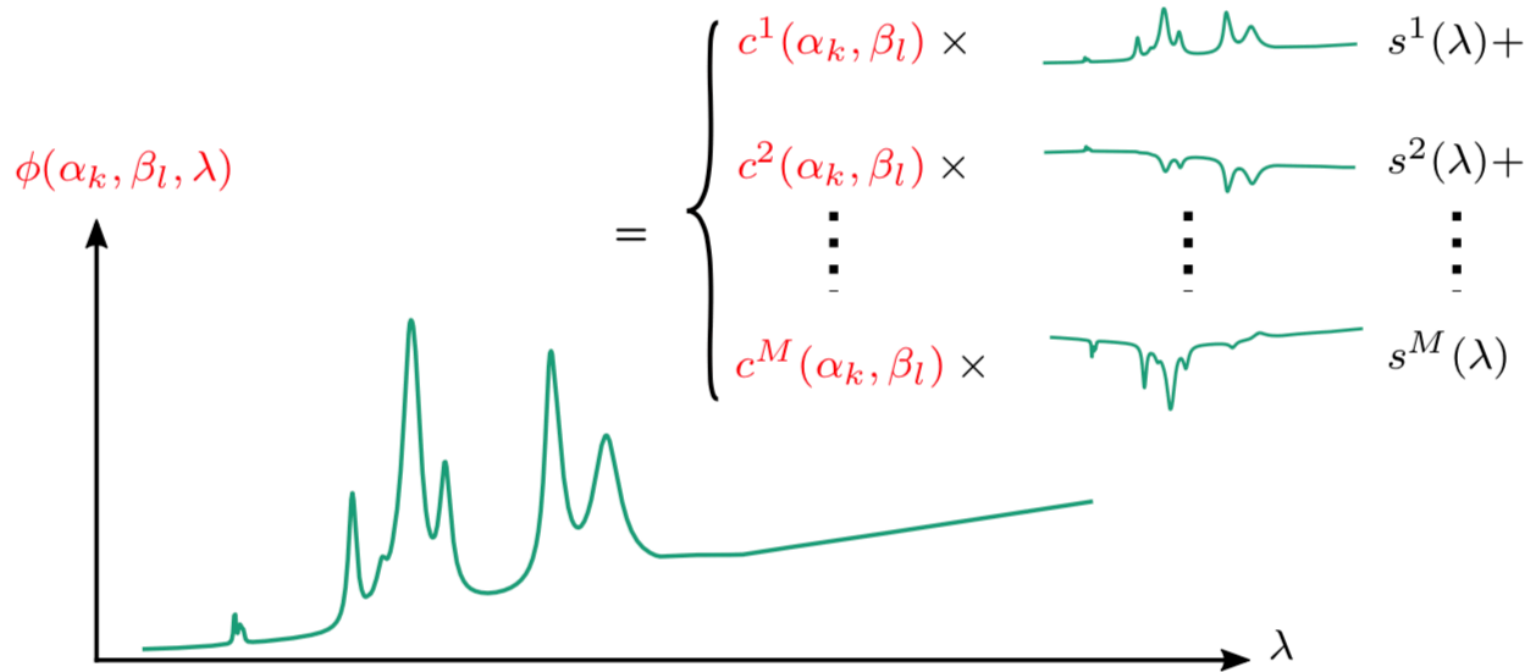
Spectral distribution : [Hadj-Youcef *et al.* 2018]



$$N_{\lambda} = 60$$

Object Representation : Linear Mixing Model [Adams *et al.* 1986]

For a single spatial position (α_k, β_l)



Object Model

$$\phi(\alpha, \beta, \lambda) = \sum_{m=1}^M \left(\overbrace{\sum_{k=1}^{N_k} \sum_{l=1}^{N_l} x_{k,l}^m b_{\text{rec}}(\alpha - \alpha_k, \beta - \beta_l)}^{c^m(\alpha_k, \beta_l)} \right) s^m(\lambda)$$

x	Mixture coefficient
s	Spectral component
M	number of components
b_{rec}	Decomposition function

Only M numbers to be computed for each pixel

Forward Model

Instrument model + object model

$$y_{i,j}^{(p)} = \sum_{m=1}^M \left(\sum_{k=1}^{N_k} \sum_{l=1}^{N_l} H_{i,j;k,l}^{p,m} \mathbf{x}_{k,l}^m \right) + n_{i,j}^{(p)}, \quad \text{for band } p, \text{ pixel } i, j$$

with

$$H_{i,j;k,l}^{p,m} = \iint_{\Omega_{\text{pix}}} \left(\left(\int_{\mathbb{R}_+} \omega_p(\lambda) h(\alpha, \beta, \lambda) s^m(\lambda) d\lambda \right)_{\alpha, \beta}^* b_{\text{rec}}(\alpha - \alpha_k, \beta - \beta_l) \right) b_{\text{samp}}(\alpha - \alpha_i, \beta - \beta_j) d\alpha d\beta$$

Joint processing of all multispectral data → Over-determined system

$$\underbrace{\begin{pmatrix} \mathbf{y}^{(1)} \\ \mathbf{y}^{(2)} \\ \mathbf{y}^{(3)} \\ \vdots \\ \mathbf{y}^{(P)} \end{pmatrix}}_{\mathbf{y}} = \underbrace{\begin{pmatrix} \mathbf{H}^{1,1} & \mathbf{H}^{1,2} & \dots & \mathbf{H}^{1,M} \\ \mathbf{H}^{2,1} & \mathbf{H}^{2,2} & \dots & \mathbf{H}^{2,M} \\ \mathbf{H}^{3,1} & \mathbf{H}^{3,2} & \dots & \mathbf{H}^{3,M} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{H}^{P,1} & \mathbf{H}^{P,2} & \dots & \mathbf{H}^{P,M} \end{pmatrix}}_{\mathbf{H}} \underbrace{\begin{pmatrix} \mathbf{x}^1 \\ \mathbf{x}^2 \\ \vdots \\ \mathbf{x}^M \end{pmatrix}}_{\mathbf{x}} + \underbrace{\begin{pmatrix} \mathbf{n}^{(1)} \\ \mathbf{n}^{(2)} \\ \mathbf{n}^{(3)} \\ \vdots \\ \mathbf{n}^{(P)} \end{pmatrix}}_{\mathbf{n}}$$

$$\mathbf{y} = \mathbf{H} \mathbf{x} + \mathbf{n}$$

Reconstruction : Estimation of the Mixture Coefficients

Convex Minimization

$$\hat{\mathbf{x}} = \underset{\mathbf{x}}{\operatorname{argmin}} \left\{ \mathcal{J}(\mathbf{x}) = \|\mathbf{y} - \mathbf{H}\mathbf{x}\|_2^2 + \mu \mathcal{R}(\mathbf{x}) \right\}$$

where the multichannel regularization is

$$\mathcal{R}(\mathbf{x}) = \sum_{m=1}^M \left(\sum_{k=1}^{N_k} \sum_{l=1}^{N_l} \varphi \left(x_{k+1,l}^m - x_{k,l}^m \right) + \sum_{k=1}^{N_k} \sum_{l=1}^{N_l} \varphi \left(x_{k,l+1}^m - x_{k,l}^m \right) \right)$$

Only spatial regularization

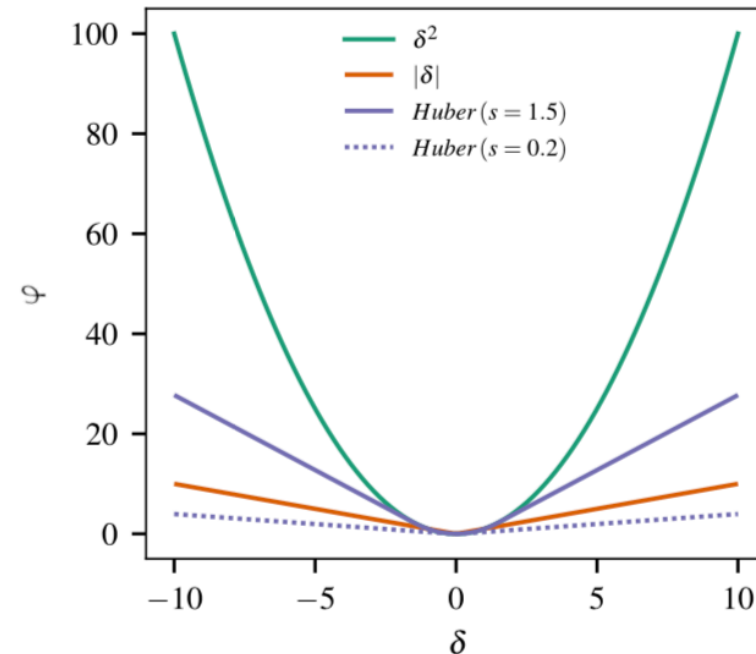
Regularization functions

1) Quadratic function (l_2) : $\varphi(\delta) = \delta^2$

2) Huber function (l_2/l_1) :

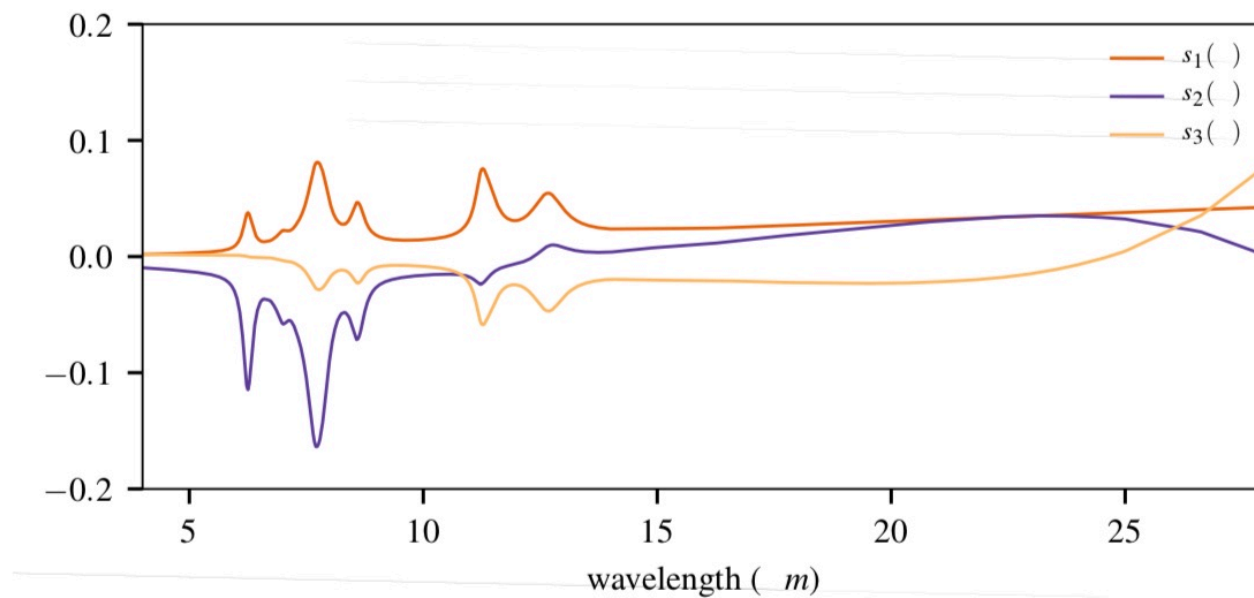
$$\varphi(\delta) = \begin{cases} \delta^2 & \text{if } |\delta| < s \\ 2s|\delta| - s^2 & \text{otherwise} \end{cases}$$

s : Threshold parameter



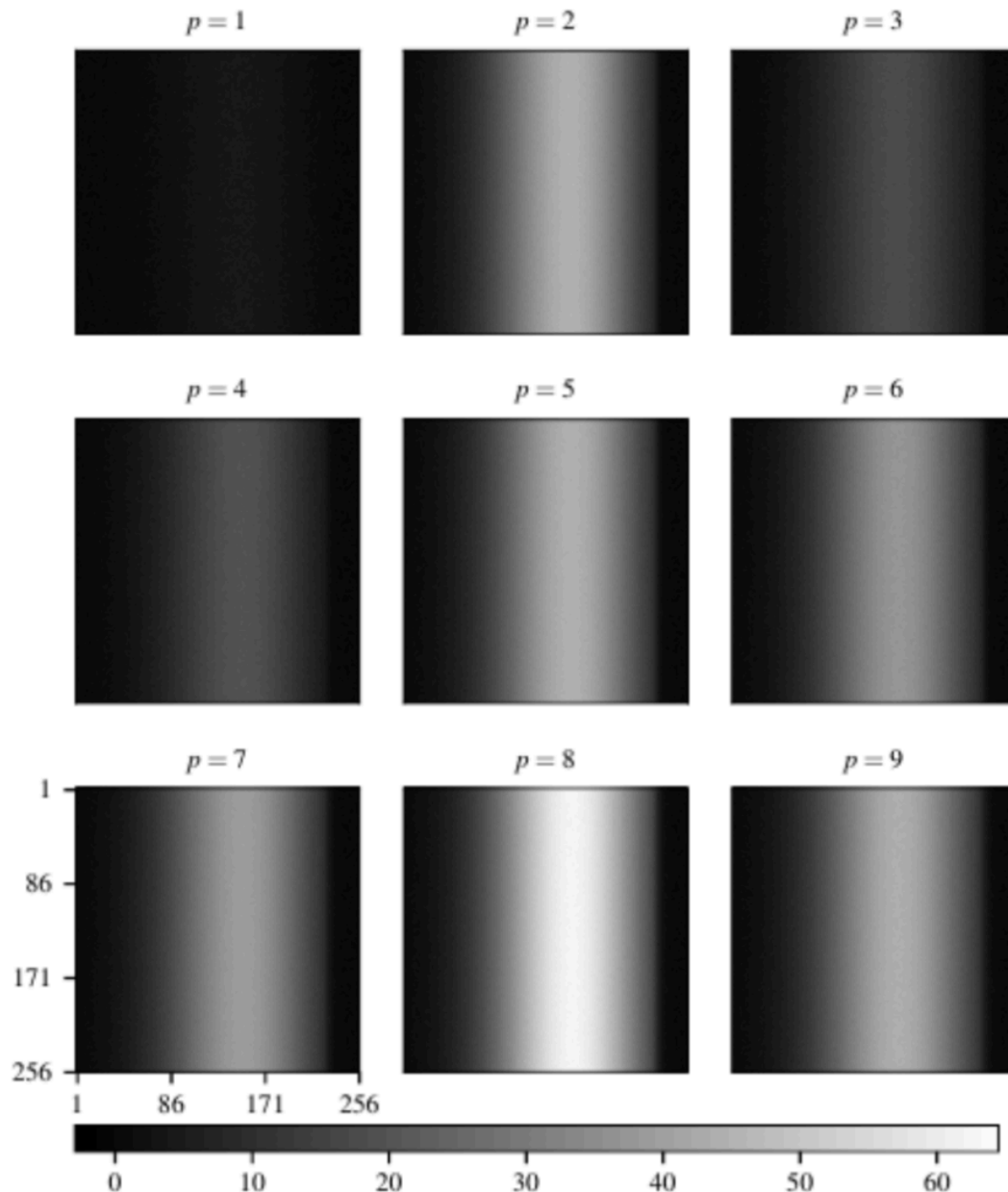
Original Spatio-Spectral Object :

Horsehead Nebula ($M = 3$)



Spectral component are extracted using the **Principal Component Analysis** (PCA) method.

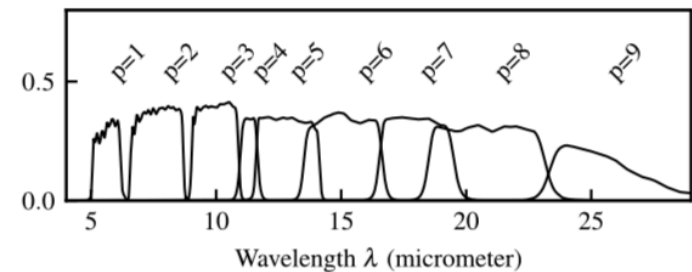
Simulation Results of the Multispectral Data



HorseHead nebula

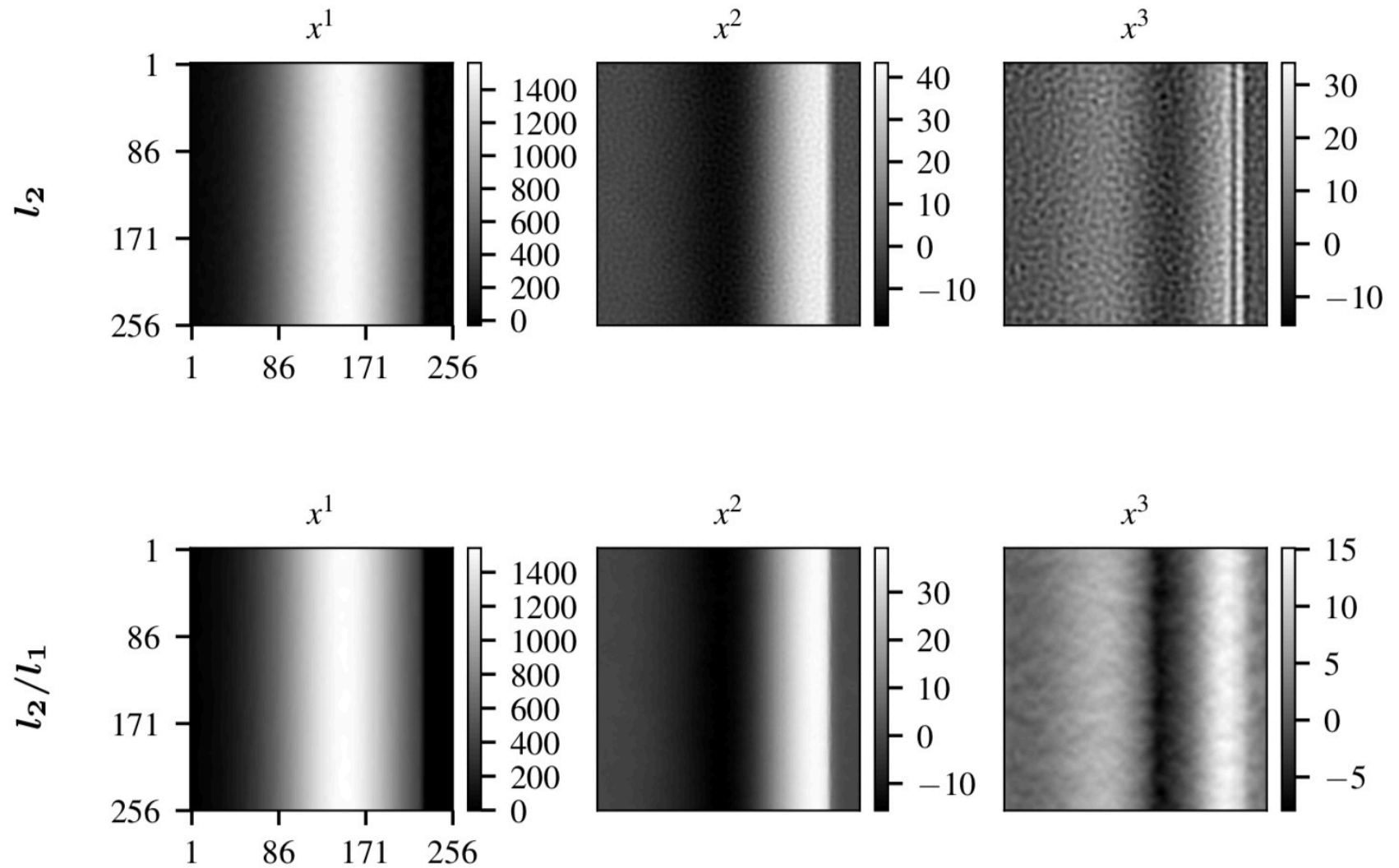
- JWST/MIRI Imager
- $9 \times 256 \times 256$ pixels
- SNR = **30** dB white Gaussian noise

$$\text{SNR} = 10 \log_{10} \left(\frac{\frac{1}{N} \|\mathbf{y}\|_2^2}{\sigma_n^2} \right)$$

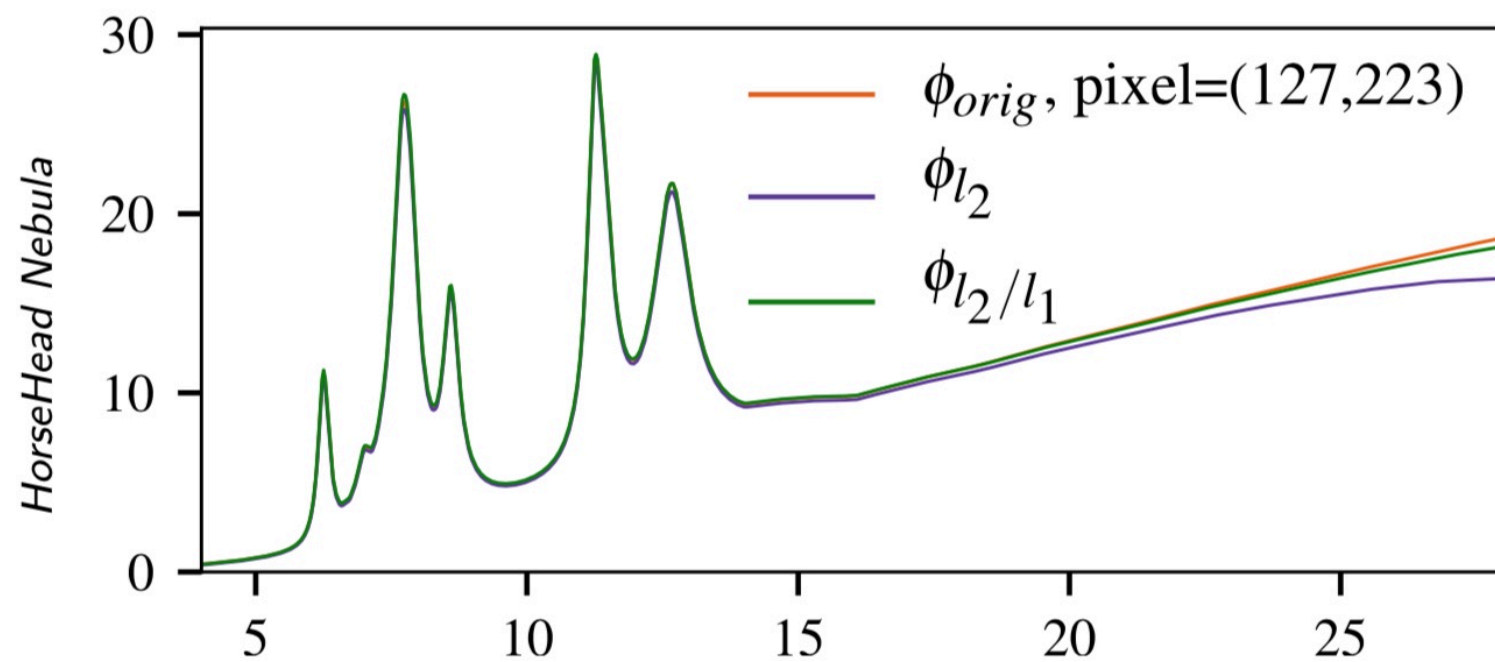


Estimated Mixture Coefficients

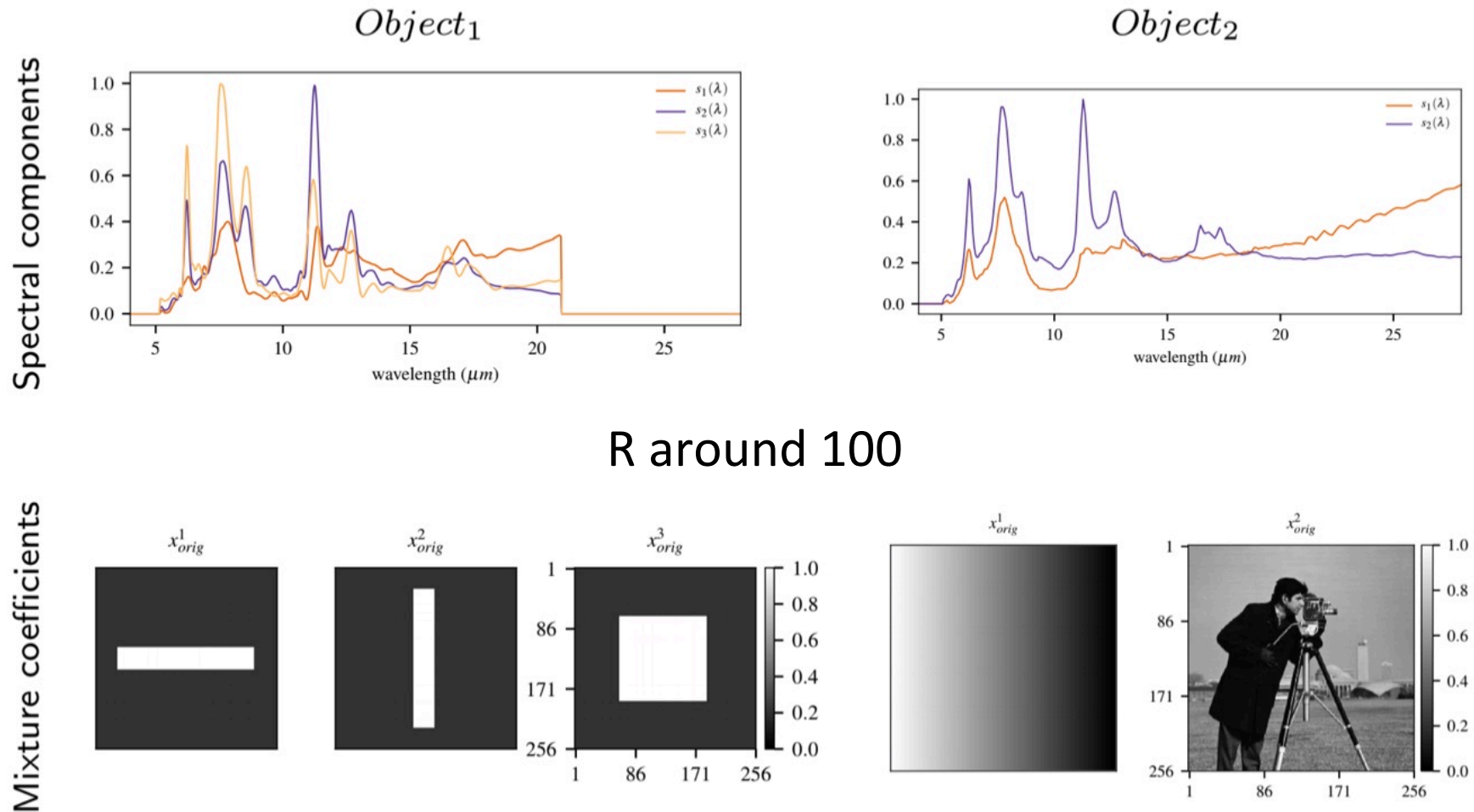
HorseHead



Reconstruction Results

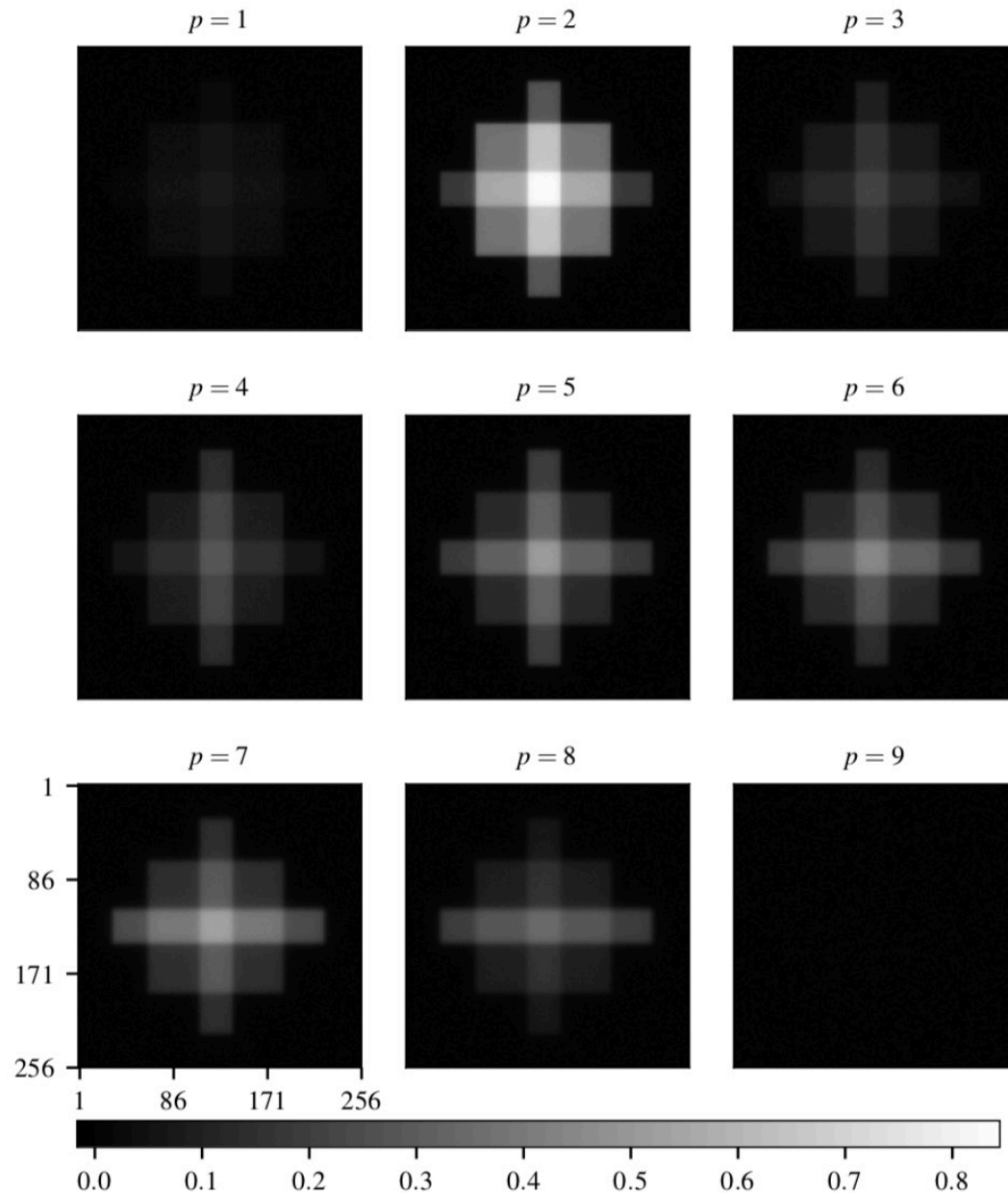


Synthetic Spatio-Spectral Objects : $1000 \times 256 \times 256$



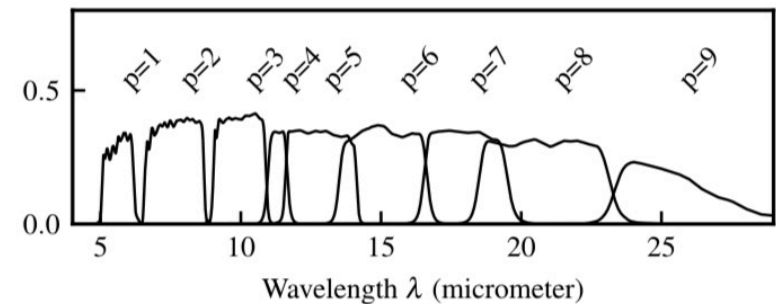
- Spectra extracted from real data observed by the Spitzer Telescope [Berne *et al.* 2007]

Simulation of Multispectral Data



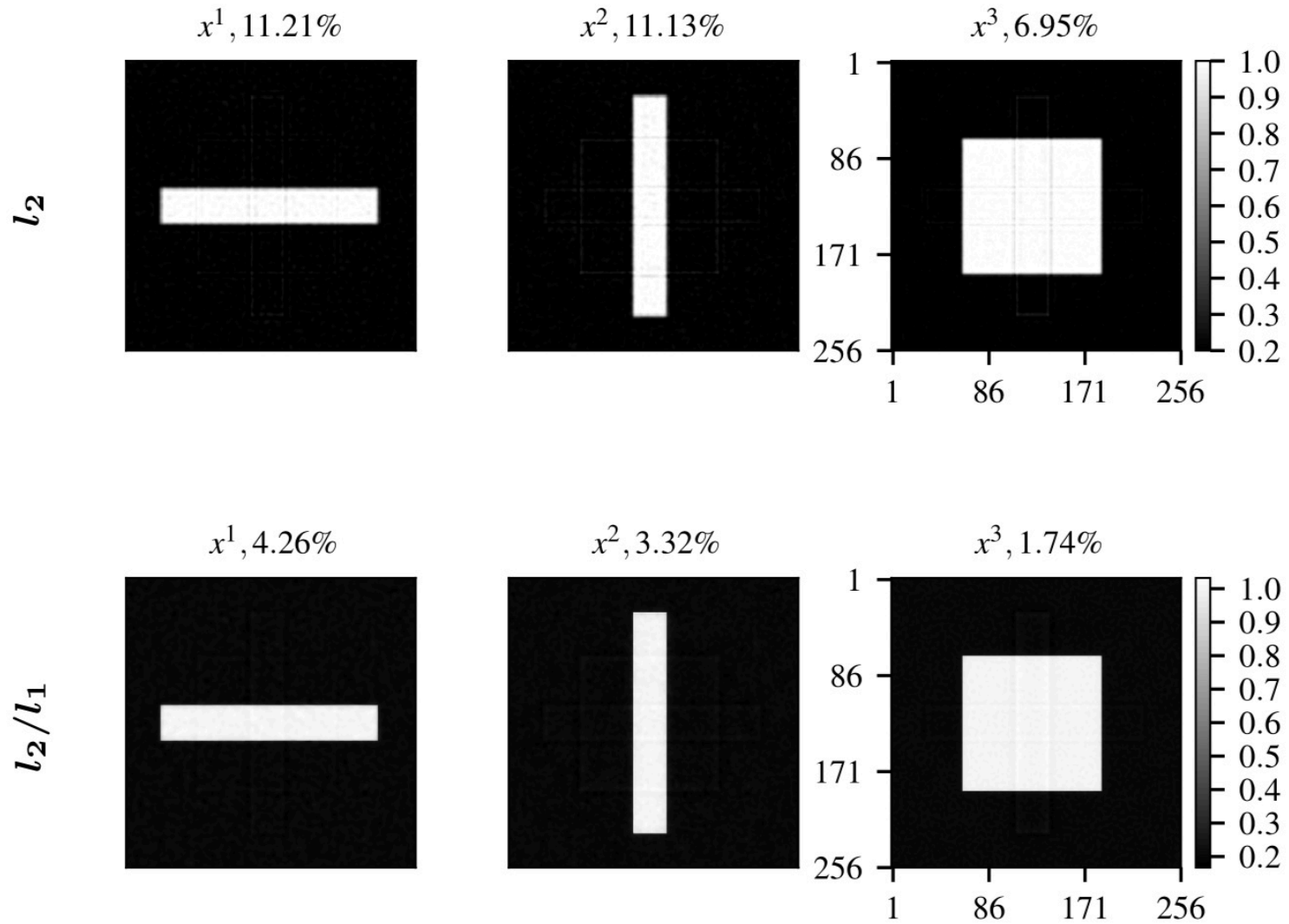
*Object*₁

- JWST/MIRI Imager
- $9 \times 256 \times 256$ pixels
- SNR = 30 dB white Gaussian noise

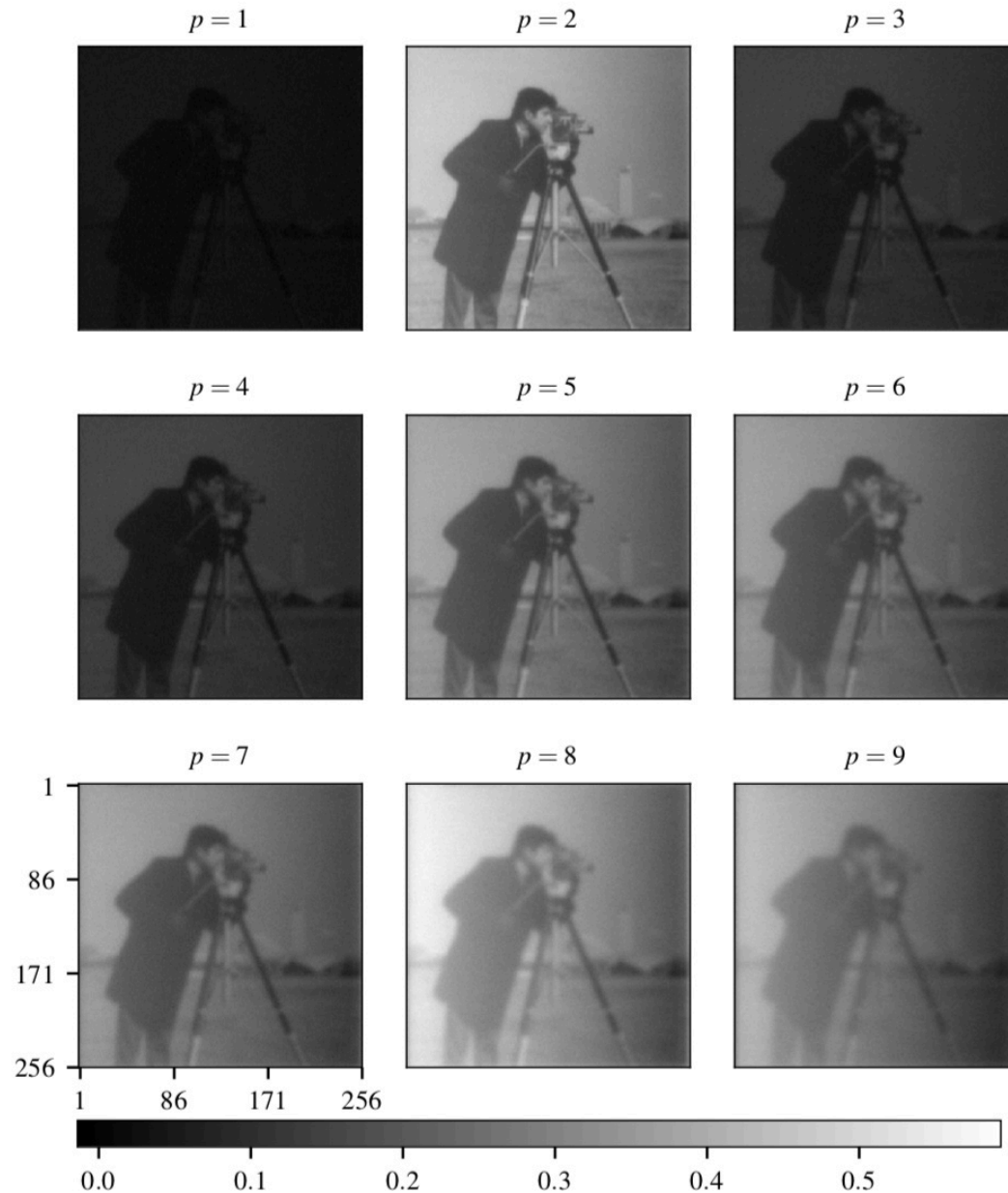


Estimated Mixture Coefficients

Object₁

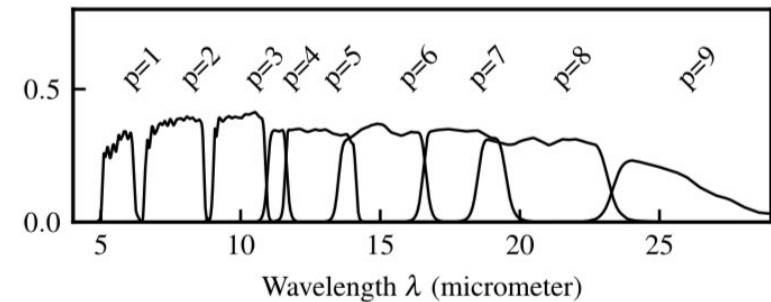


Simulation of Multispectral Data



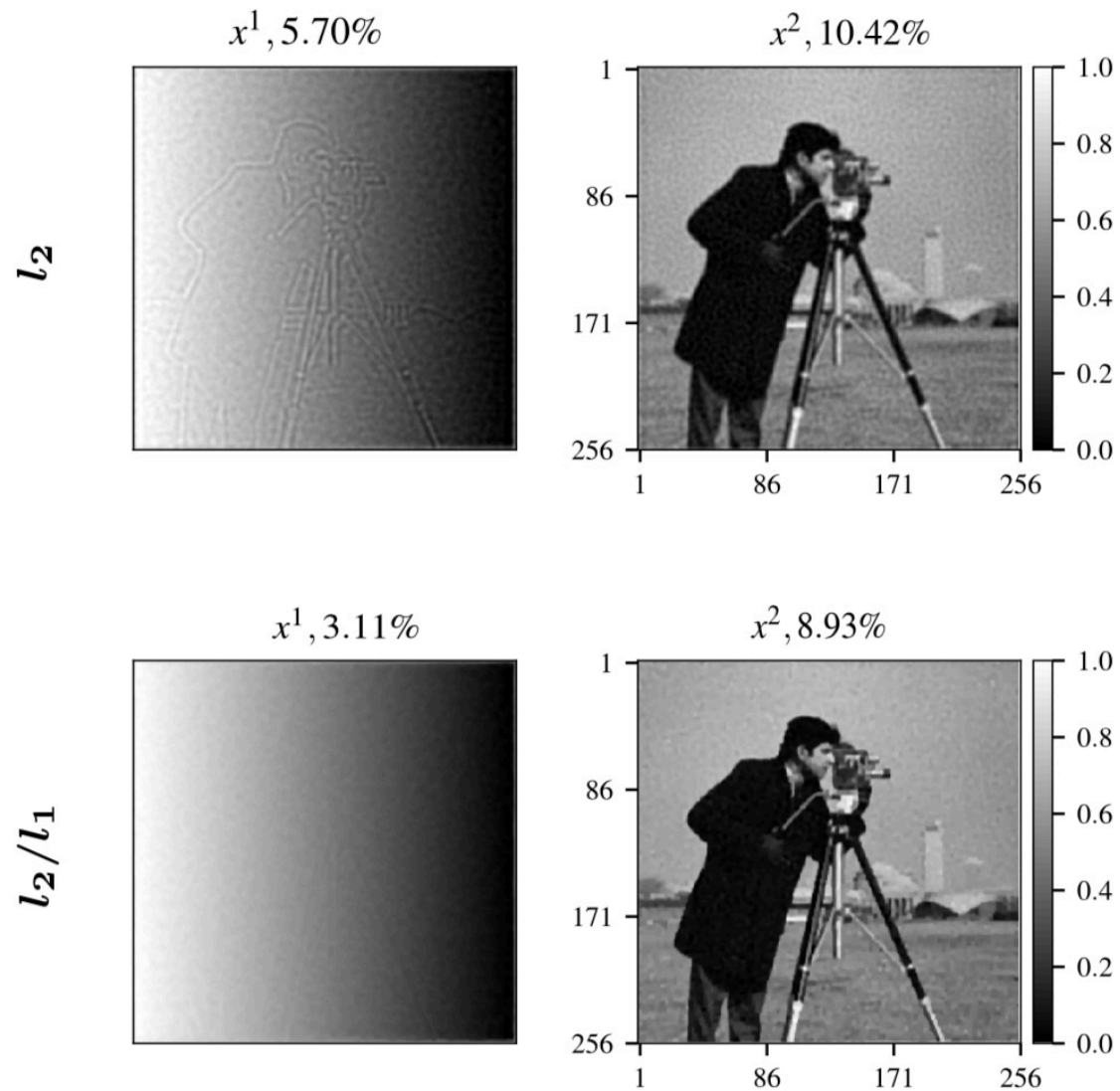
Object₂

- JWST/MIRI Imager
- $9 \times 256 \times 256$ pixels
- SNR = 30 dB white Gaussian noise

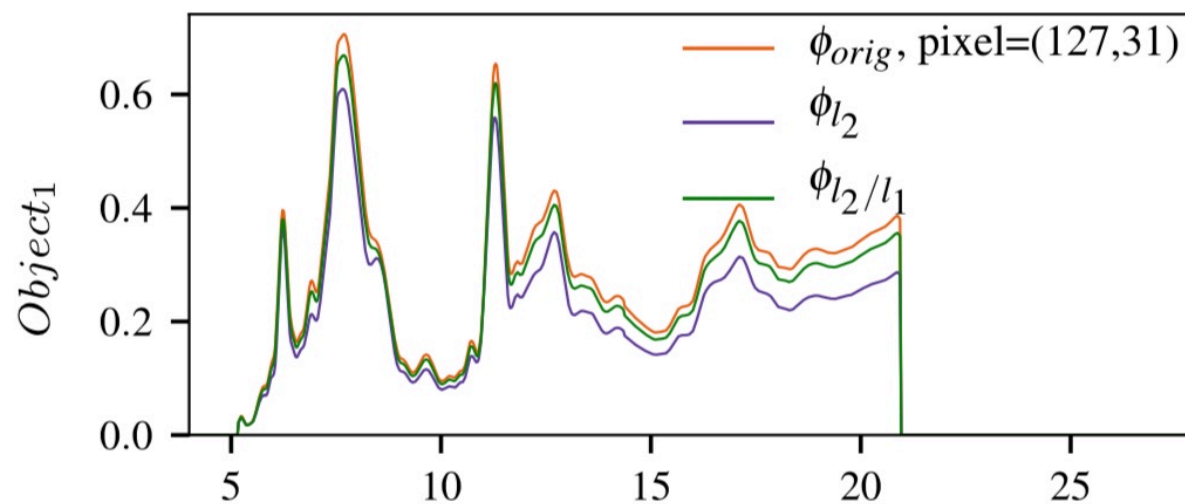


Estimated Mixture Coefficients

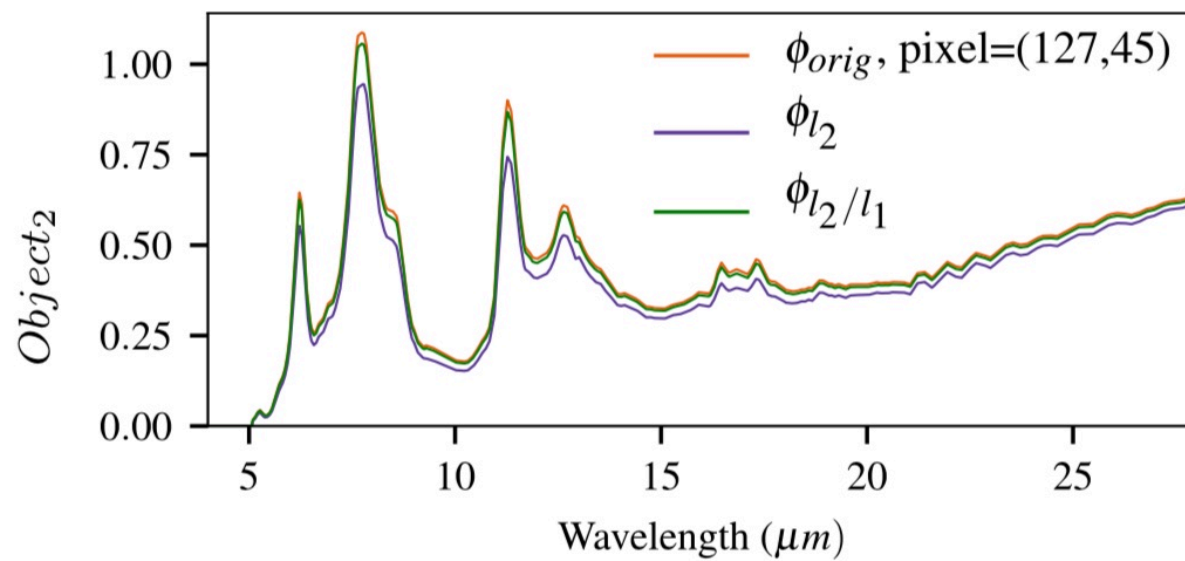
Object₂



Reconstruction Results



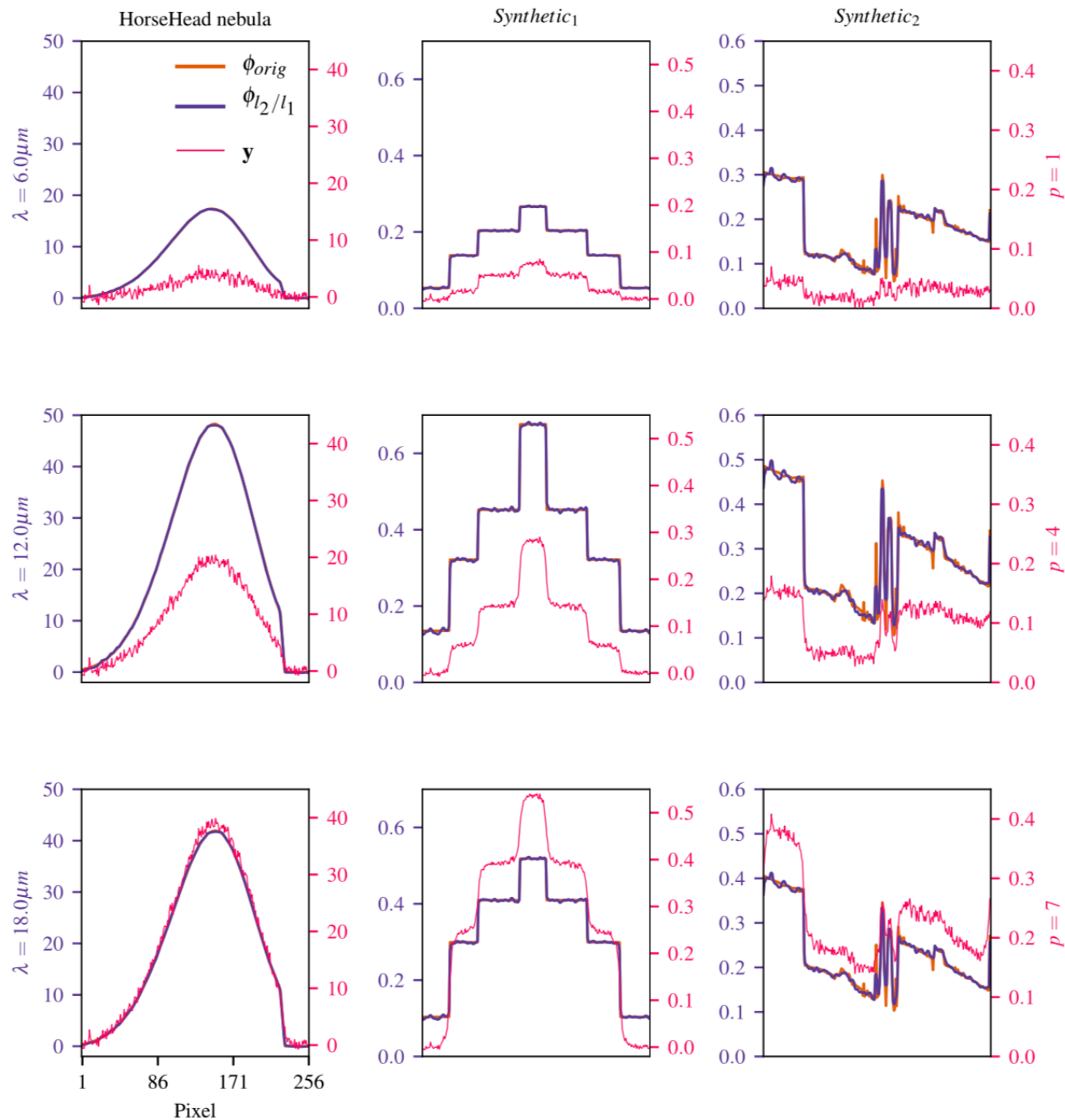
R around 100



L2-L1 results

→ Deconvolution

→ Denoising



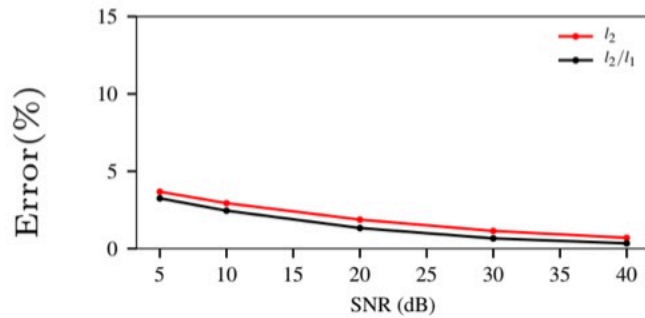
Reconstruction Results

Spatio-Spectral Object	Regularization	Error (%)	Runtime (seconds)	N_{iter}
<i>HorseHead Nebula</i>	l_2	1.14	1.36	50
	l_2/l_1	0.66	20.33	
<i>Object₁</i>	l_2	5.29	1.19	50
	l_2/l_1	1.91	19.98	
<i>Object₂</i>	l_2	5.95	0.97	50
	l_2/l_1	4.91	18.50	

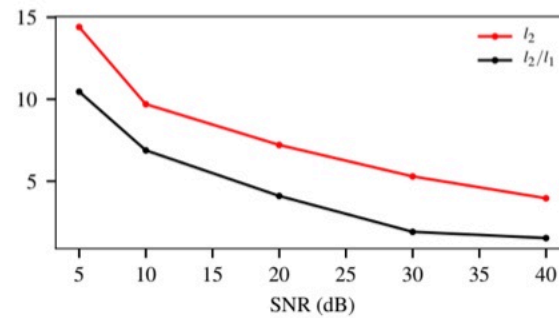
- Reconstructed spatio-spectral objects of size $1000 \times 256 \times 256$.
- Relative error : $\text{Error}(\%) = 100 \times \frac{\|\mathbf{x}_{orig} - \mathbf{x}_{rec}\|_2}{\|\mathbf{x}_{orig}\|_2}$

Influence of the Noise Level

HorseHead



Object₁



Object₂

